



Receipt  
#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Yanbin Shao  
Serial No. : 10/075,433  
Filed : February 12, 2002  
Title : FOUR-PORT PM CIRCULATOR

Art Unit : 2873  
Examiner : Unknown

Commissioner for Patents  
Washington, D.C. 20231

Attention: OIPE

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Please correct the Filing Receipt for the above-referenced application as follows:

1. The filing date should be changed from 02/13/2002 to --02/12/2002--.
2. Please correct the correspondence address to read:

Fish & Richardson P.C.  
60 South Sixth Street  
3300 Dain Rauscher Plaza  
Minneapolis, MN 55402

3. For the inventor, please correct the name and also include the residence address,  
*i.e.*, Yanbin Shao, Sunnyvale, California.

Please supply a corrected Filing Receipt to the undersigned with respect to this application. A copy of the original Filing Receipt showing the desired changes in red ink is attached for your convenience.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the attention of the OIPE, Commissioner for Patents, Washington, D.C. 20231.

April 5, 2002

Date of Deposit

*Melissa Alexander*  
Signature

Melissa Alexander

Typed or Printed Name of Person Signing Certificate

Applicant : Yan Bin Shao  
Serial No. : 10/075,433  
Filed : February 12, 2002  
Page : 2

Attorney's Docket No.: 13854-009001

No fee is believed to be due. If, however, there are any charges or credits, please apply them to Deposit Account No. 06-1050.

Respectfully submitted,

Date:

4-5-2002



Nong-Qiang Fan, Reg. No. 50,518

Fish & Richardson P.C.  
500 Arguello Street, Suite 500  
Redwood City, California 94063  
Telephone: (650) 839-5070  
Facsimile: (650) 839-5071

50084980.doc



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Yanbin Shao  
Serial No. : 10/075,433  
Filed : February 12, 2002  
Title : FOUR-PORT PM CIRCULATOR

Art Unit : 2873  
Examiner : Unknown

Commissioner for Patents  
Washington, D.C. 20231

Attention: OIPE

**REQUEST TO CORRECT FILING DATE**

Applicant requests that the filing date of the above application be corrected from February 13, 2002, to February 12, 2002.

Enclosed is a copy of the return postcard, stamped February 13, 2002, by the PTO. This date is in error. The correct filing date should be February 12, 2002, as shown by the enclosed copies of:

1. The application cover sheet bearing a signed certificate under 37 CFR §1.10, including the Express Mail receipt number EL925469386US, and a date of deposit of February 12, 2002;
2. The Express Mail receipt number EL925469386US, postmarked February 12, 2002; and

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the attention of the OIPE, Commissioner for Patents, Washington, D.C. 20231.

April 5, 2002

Date of Deposit

*Melissa Alexander*  
Signature

Melissa Alexander

Typed or Printed Name of Person Signing Certificate

Applicant : Yan Bin Shao  
Serial No. : 10/075,433  
Filed : February 12, 2002  
Page : 2


Attorney's Docket No.: 13854-009001

3. The Declaration of Alan T. Nguyen, who delivered the application to the  
U.S. Post Office on February 12, 2002.

Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 4-5-2002

  
\_\_\_\_\_  
Nong-Qiang Fan  
Reg. No. 50,518

Fish & Richardson P.C.  
500 Arguello Street, Suite 500  
Redwood City, California 94063  
Telephone: (650) 839-5070  
Facsimile: (650) 839-5071

Application No.: 10/075,433  
Applicant: Yan Bin Shao  
Title: Four-Port PM Circulator



Express Mail Label

No. EL925469386 U.S. ATTORNEY DOCKET NO.: 13854-009001

The Patent and Trademark Office date stamp sets forth the receipt date (or both the receipt date and the Serial Number) of a patent application identified as follows:

Applicant: YAN BIN SHAO

Title: FOUR-PORT PM CIRCULATOR

JC971 U.S. PTO  
10/075433



02/15/02

- ☒ Transmittal Letter 2 Pages  
☒ Appln. 6 Pgs of Spec. 3 Pgs of Claims 17 Total Claims 1 Pgs of Abstract  
☐ Rule 53(b) (CIP) or ☐ Rule 53(d) (CPA) Request      Pages  
☐ Assignment      Pages ☐ Recordation Form Cover Sheet      Pages  
☒ Check \$ 740 ☐ Small Entity Statement  
☒ Drawings      Sheets Formal 5 Sheets Informal  
☒ Combined Declaration and Power of Attorney 2 Pages ☐ Signed ☒ Unsigned  
☐ Preliminary Amendment      Pages  
☐ Information Disclosure Statement      Pages  
☐ PTO Form 1449      Pages ☐ Prior Art References - No. of References       
☐ Priority Document      Pages  
☒ Other: NON-PUBLICATION REQUEST AND APPLICATION COVER SHEET

Atty./Secty.      Client/Matter     

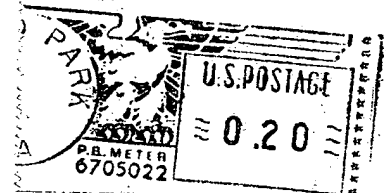
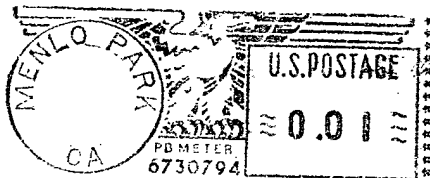
Initials MDK/MRF Name DPLINK

Date 1-31-02

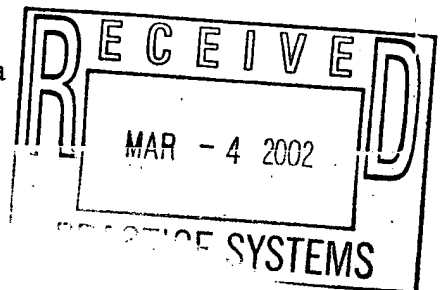
MXA

2-12-02

JC971 U.S. PTO  
10/075433  
02/12/02



Fish & Richardson P.C.  
60 South Sixth Street  
3300 Dain Rauscher Plaza  
Minneapolis, MN 55402



Application No.: 10/075,433  
Applicant: Yan Bin Shao  
Title: Four-Port PM Circulator

Attorney's Docket No.: 13854-009001



APPLICATION  
FOR  
UNITED STATES LETTERS PATENT

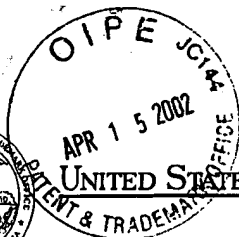
TITLE: FOUR-PORT PM CIRCULATOR

APPLICANT: YAN BIN SHAO

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EL925469386US

Date of Deposit February 12, 2002



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/075,433	<del>02/13/2002</del> 02/12/2002	2873	740	13854-009001	5	17	2

CONFIRMATION NO. 8735

## FILING RECEIPT



\*OC000000007665551\*

FISH &amp; RICHARDSON P.C.

~~Suite 500~~~~500 ARGUELLO STREET~~~~Redwood City, CA 94063-1526~~~~60 South Sixth Street~~~~3300 Dain Rauscher Plaza~~~~Minneapolis, MN 55402~~

Date Mailed: 03/19/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) Yanbin Shao, Sunnyvale, CA\*

~~Yan Bin Shao, Residence Not Provided~~

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 03/18/2002

Projected Publication Date: Request for Non-Publication Acknowledged ✓

Non-Publication Request: Yes

Early Publication Request: No

Title

Four-port PM circulator ✓

Preliminary Class

359

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Application No.: 10/075,433  
Applicant: Yan Bin Shao  
Title: Four-Port PM Circulator



PATENT  
Attorney's Docket No.: 13854-009001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Yan Bin Shao  
Serial No.: N/A  
Filed: Herewith  
Title: FOUR-PORT PM CIRCULATOR

Box Patent Application  
Commissioner for Patents  
Washington, DC 20231

DECLARATION OF MAILING BY "EXPRESS MAIL"

Alan T. Nugyen declares as follows:

1. I reside at:

1468 Pomeroy Avenue  
Santa Clara, CA 95051

EL925469386US

and am an employee of:

Fish & Richardson P.C.  
500 Arguello Street, Suite 500  
Redwood City, California 94063

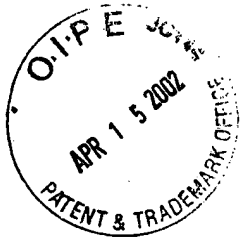
2. On February 12, 2002, I deposited in the mail, "Express Mail Post Office to Addressee" service of the United States Postal Service, the contents of the envelope for which "Express Mail" receipt No. EL925469386US was issued addressed to the Commissioner for Patents, Washington, DC 20231

3. Attached hereto is a true copy of the "Express Mail" receipt No. EL925469386US.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the above-identified application or any patents issued thereon.

Date: February 12, 2002

Application No.: 10/075,433  
Applicant: Yan Bin Shao  
Title: Four-Port PM Circulator



## POST OFFICE TO ADDRESSEE



925469386 US



SEE REVERSE SIDE FOR  
SERVICE GUARANTEE AND LIMITS  
ON INSURANCE COVERAGE

ORIGIN (POSTAL USE ONLY)			
PO ZIP Code 94064	Day of Delivery <input type="checkbox"/> Next <input checked="" type="checkbox"/> Second	Flat Rate Envelope <input type="checkbox"/>	
Date In 2/12/02 Mo Day Year	<input type="checkbox"/> 12 Noon <input type="checkbox"/> 3 PM	Postage \$ 12.45	
Time In 6:55 AM <input type="checkbox"/> PM	Military <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	Return Receipt Fee	
Weight 6 lbs. 6 ozs.	Int'l Alpha Country Code	COD Fee	Insurance Fee
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials [Signature]	Total Postage & Fees \$ 12.45	

☐ **WAIVER OF SIGNATURE (Domestic Only):** Additional merchandise insurance is void if waiver of signature is requested. I wish delivery to be made without obtaining signature of addressee or addressee's agent (if delivery employee judges that article can be left in secure location) and I authorize that delivery employee's signature constitutes valid proof of delivery.

NO DELIVERY ☐ Weekend ☐ Holiday ☐ Customer Signature

### CUSTOMER USE ONLY

#### METHOD OF PAYMENT:

Express Mail Corporate Acct. No.

940100

Federal Agency Acct. No. or  
Postal Service Acct. No.

FROM: (PLEASE PRINT)

PHONE ( )

FISH & RICHARDSON P C  
500 ARGUELLO STREET  
SUITE 500  
REDWOOD CITY CA 94063

13854-009001 (NQF)

TO: (PLEASE PRINT)

PHONE ( )

ASSISTANT COMMISSIONER  
FOR PATENTS  
WASHINGTON DC 20231-9999

Box Patent Application

FOR PICKUP OR TRACKING CALL 1-800-222-1811

www.usps.com



Customer Copy  
Label 11-F August 2000



## UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

Bib Data Sheet

CONFIRMATION NO. 8735

<b>SERIAL NUMBER</b> 10/075,433	<b>FILING DATE</b> 02/12/2002 <b>RULE</b>	<b>CLASS</b> 359	<b>GROUP ART UNIT</b> 2873	<b>ATTORNEY DOCKET NO.</b> 13854-009001	
<b>APPLICANTS</b> Yanbin Shao, Sunnyvale, CA;  ** CONTINUING DATA ***** <i>none</i>  ** FOREIGN APPLICATIONS ***** <i>none</i>  IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 03/18/2002					
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance		<b>STATE OR COUNTRY</b> CA	<b>SHEETS DRAWING</b> 5	<b>TOTAL CLAIMS</b> 17	<b>INDEPENDENT CLAIMS</b> 2
Verified and Acknowledged Examiner's Signature _____ Initials _____					
<b>ADDRESS</b> 26181					
<b>TITLE</b> Four-port PM circulator					
<b>FILING FEE RECEIVED</b> 870	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		

RECEIVED  
DEC 12 2002  
REGISTERED